SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

| | neck this box if no longer subject Section 16. Form 4 or Form 5 ligations may continue. See struction 1(b). |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* SHEEHAN KEVIN M | | | | - | | ing Symbol <mark>uinment, Inc.</mark> [| 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|---|----------------|---------------|--|---|-----------------------------------|---|--|--|--|--|--|--|
| (Last) (First) (Middle) 2481 MANANA DRIVE | | | | Date of Earliest Tra 2/09/2021 | ansaction (Mc | nth/Day/Year) | X X | | | er (specify | | |
| (Street) DALLAS (City) | TX (State) | 7522 (Zip) | | . If Amendment, Dat | te of Original | Filed (Month/Day/Year) | 6. Indiv Line) X | ridual or Joint/Group Form filed by One Form filed by Mo Person | e Reporting Per | son | | |
| | | Table I - | Non-Derivativ | e Securities A | cquired, [| Disposed of, or Benef | ficially | Owned | | | | |
| 1. Title of Secur | ity (Instr. 3) | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any | 3. Transaction Code (Instr. | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a | | 5. Amount of Securities Beneficially | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial | | |

| | (| (Month/Day/Year) | 8) | | | | | Owned Following Reported | | Ownership (Instr. 4) |
|--------------|------------|------------------|------|---|--------|---------------|----------------------------------|------------------------------------|-----------|-------------------------|
| | | | Code | v | Amount | (A) or (D) | | Transaction(s) (Instr. 3 and 4) | (1130. 4) | (1150. 4) |
| Common Stock | 12/09/2021 | | Р | | 15,000 | Α | \$ 34.9172 ⁽¹⁾ | 153,798 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | | | | | | | | - | | | |
|---|---|--|---|------------------------------|---|------|-----|--|---|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | n of | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were bought in multiple transactions at prices ranging from \$34.85 to \$35.01, inclusive. The reporting person undertakes to provide to Dave & Buster's Entertainment, Inc., any security holder of Dave & Buster's Entertainment, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares bought at each separate price within the range set forth in footnote (1) to this Form 4.

Remarks:

Sherri M. Smith, Attorney-in-Fact 12/09/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.