SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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			of occasin object of the integration company view of 1540			
1. Name and Ad	dress of Reporting AN J	Person*	2. Issuer Name and Ticker or Trading Symbol Dave & Buster's Entertainment, Inc. [PLAY]		ationship of Reporting Pe < all applicable) Director	10% Owner
(Last) 2481 MANA	(First) NA DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/05/2017	-	Officer (give title below)	Other (specify below)
(Street) DALLAS	TX	75220	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Fili Form filed by One Re Form filed by More th	porting Person
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/05/2017		М		14,702	Α	\$4.44	55,485	D	
Common Stock	04/05/2017		S		14,702	D	\$60.3658(1)	40,783	D	
Common Stock	04/06/2017		М		10,298	A	\$4.44	51,081	D	
Common Stock	04/06/2017		S		10,298	D	\$59.9044 ⁽²⁾	40,783	D	
Common Stock								131,238	I	Alan J. Lacy Irrevocable Qualified Annuity Trust No. 2016-3

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Secu Acq (A) c Disp of (E	umber vative urities uired or oosed)) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$4.44	04/05/2017		М			14,702	(3)	06/01/2020	Common Stock	14,702	\$0.00	132,464	D	
Stock Option (Right to Buy)	\$4.44	04/06/2017		М			10,298	(3)	06/01/2020	Common Stock	10,298	\$0.00	122,166	D	

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold to multiple transactions at prices ranging from \$60.200 to \$60.500, inclusive. The reporting person undertakes to provide to Dave & Buster's Entertainment, Inc., any security holder of Dave & Buster's Entertainment, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.

2. The price reported in Column 4 is a weighted average price. These shares were sold to multiple transactions at prices ranging from \$59.690 to \$60.000, inclusive. The reporting person undertakes to provide to Dave & Buster's Entertainment, Inc., any security holder of Dave & Buster's Entertainment, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.

3. All of the shares subject to the option have previously vested.

Remarks:

Jay L. Tobin, Attorney-in-Fact 04/07/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.